


Consequences

Module 2.4en

Why the failure matters



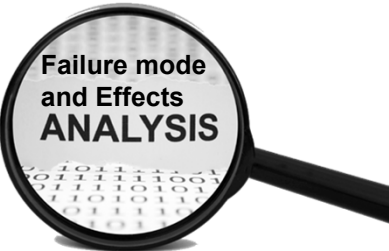
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Potenciando el Mantenimiento

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1

1



Failure mode  
and Effects  
ANALYSIS

IS INTERESTING, BUT ..

The reason for our concern with failure  
is its  
**CONSEQUENCES**

Stanley Nowlan and Howard Heap  
RCM Report 1978

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2

2

# The consequences of the failure rather than the failure itself



## drive the maintenance plan.

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3

3

Nevertheless, up until the early 90s, massive **yearly** equipment overhauls were carried out religiously in many industries, without a formal analysis the failures' consequences.

Throughout the 70s and 80s most authors and consultants still extolled the benefits of **Age Based Preventive Maintenance**, seemingly, for its own sake.

John Moubray In his book RCM II in 1991 emphasized that shifting the focus of maintenance management onto the **Consequences** of failure was, in his words, "one of the most extraordinary revelations of RCM".



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4

4

# How do the analysts decide upon the consequences?

They consider the Effects of Question 4....

in order to determine

the consequences


EFFECTS

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5

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# The effects narrative may direct the analysts towards a policy.



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6

6

2.4.1 Quiz 1 Consequences

<https://forms.gle/epuhqrKEqprDR9Eu5>

1. With regard to understanding the difference between "Failure Effects" and "Failure Consequences" which of the following descriptions are most helpful? \*

1 point

☐

A failure mode is the effect by which a failure is observed on the failed item.

☐

The failure impact on equipment function is the effect on equipment-unit function, for example: critical, degraded, or incipient failure.

☐

The Effects describe what happens when the failure mode occurs.The Consequences describe why the failure matters.

☐

The Consequences describe the effect of PM on failure rate.

☐

All of the above.

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7

7

Four Failure Consequences

Hidden Failures

Protective or backup devices. No one is aware of their failure until they are needed.

Health, Safety and Environmental Consequences

Someone could get sick, injured or killed or an HSE regulation could be breached

Operational Consequences

Output, quality, customer service or product costs (other than the maintenance budget) are impacted

Non-Operational (Maintenance) Consequences

Impact only the direct cost of repair

1. The failure of a hidden function is a "hidden failure".

2. The consequences are also "hidden failure".

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8

8

2.4.1 Quiz 2 Consequences

<https://forms.gle/4oqarNFF1XqiiZX48>

1. RCM identifies four types of consequences (Hidden, Health-Safety-Environmental, Operational, Non-operational). True or False. \*

1 point

☐ True

☐ False

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9

9

Question 6 - A proactive task must be:

Applicable:

Technically Feasible

The task is *practical* to do at the *frequency* needed to be effective.

Effective:

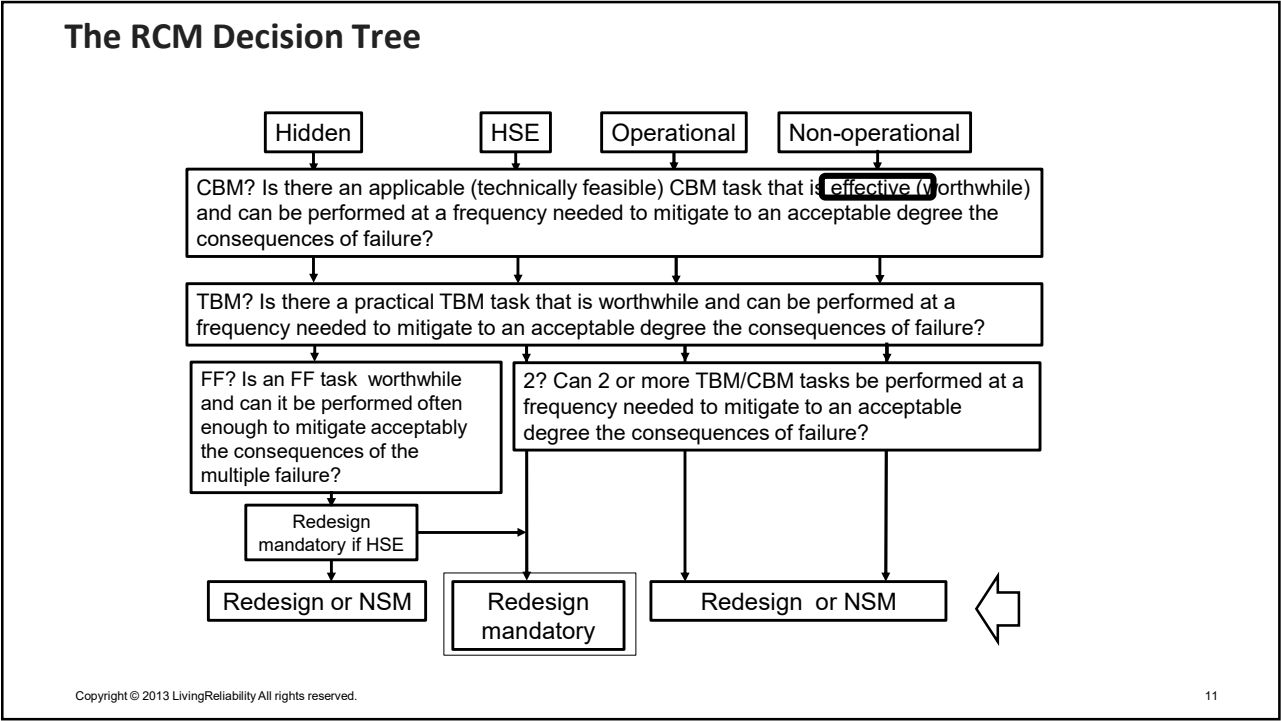
Worthwhile

The task reduces the consequences of the failure mode sufficiently to justify the costs (direct and indirect) of doing the task.

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10

10



11

### 2.4.1 Quiz 3 Consequences

<https://forms.gle/iTAML9cJG XKp3PB19>

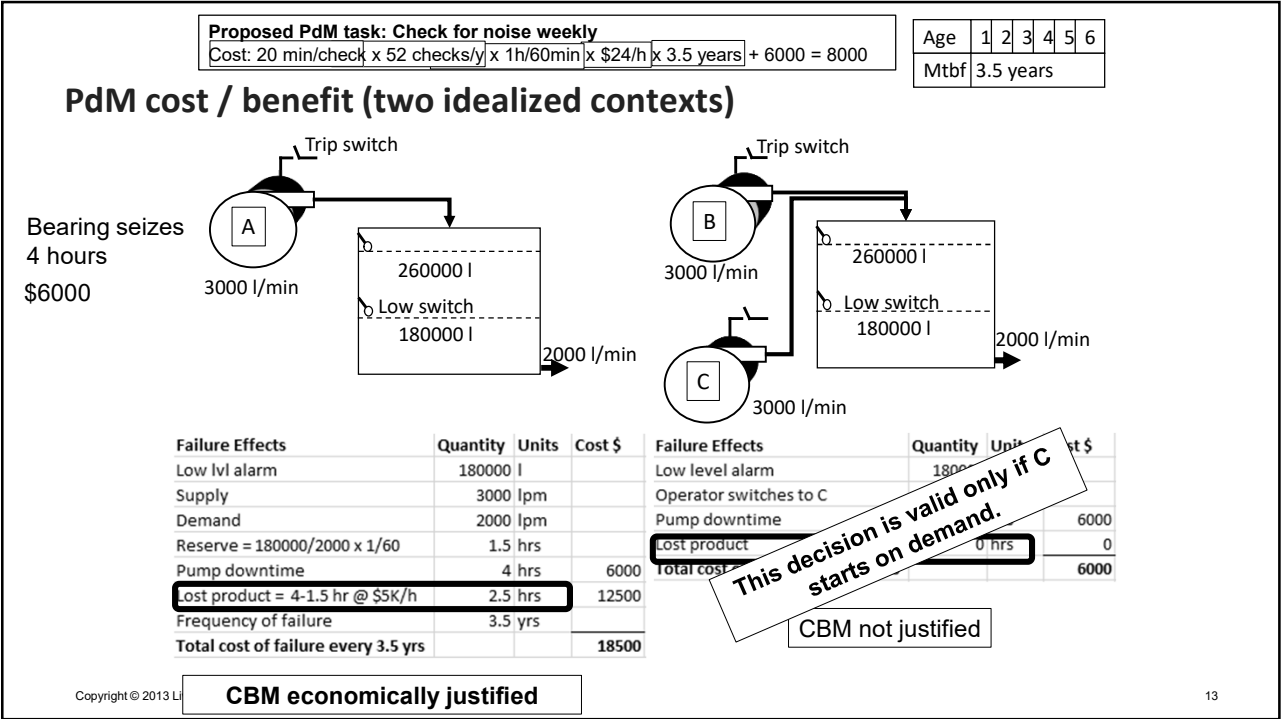
1. A PM task that can be done frequently enough to sufficiently reduce risk 1 point  
is (choose one of): \*

- ☐ 1. Applicable
- ☐ 2. Effective
- ☐ 3. Applicable and effective
- ☐ 4. Worthwhile
- ☐ 5. Technically feasible.

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12

12



2.4.1 Quiz 4 Consequences

<https://forms.gle/T5CfmDp4biGPzgoYA>

1. If a PM task is considered to be applicable and effective in accomplishing its stated risk objective it should be included in the maintenance plan True or False. \* 1 point

☐

 True

☐

 False

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15

15

Hidden Failures (i.e. of a protective function)


B

If pump B fails, switch to C and repair B

C


No direct consequences if C fails while B is still working. Failure of C only matters if B also fails

A hidden failure is a functional failure that will not on its own become evident to the operating crew under normal circumstances



Fail-safe device:

- Its failure will be known



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16

16



### Hidden Failures

Hidden functions are ~~almost~~ always **protective functions**.  
We have the **“protected”** function and the **“protector”** (the protective device or system).

Underground pipe?

rust

leak

rust

leak

Protected Function

Protector

Failure

Failure

Multiple Failure

A multiple failure is usually serious or catastrophic

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17

17

### 2.4.1 Quiz 5 Consequences

<https://forms.gle/crHcnyKH2STAwCE9>

1. A multiple failure can occur without anyone knowing about it. True or false? \*

1 point

☐ True


☐ False

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18

18

### How is the Protector's performance measured?



Availability: The probability that it will be available when called upon. For example,  $A_{or} = 66.7\% = \frac{MTBF}{MTBF + MDT} = \frac{4}{4+2}$

Unavailability= $\frac{MDT}{MTBF+MDT} = \frac{2}{4+2} = 33.3\%$


Protected Function

Protector  
 $A_{or}=66.7\%$

1 year

Failure

Failure

Multiple Failure

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19

19

### A protected function's performance is measure by "Reliability"

Reliability: MTBF of the protected function =  $M_{ed}$ , say 4 years. So in a given year its

Protected  
 $M_{ed}=4\text{ years}$

Protector  
 $A_{or}=66.7\%$


1 year

Probability of failure is  $\frac{1}{4} = 25\%^*$

Prob of being unavail= $33.3\%$

Failure

Failure

Multiple Failure

\*assuming random failure behavior

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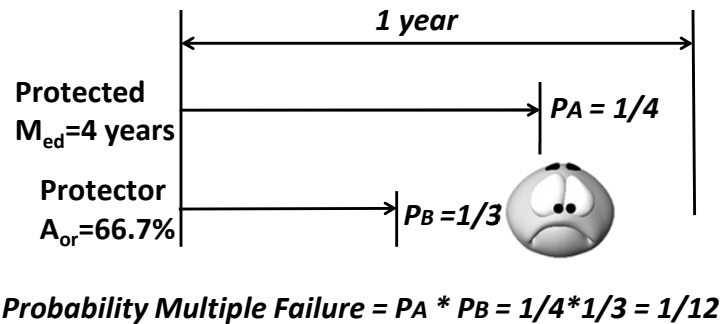
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### Probability of a multiple failure

- Event A: The probability that the Protected Function will fail in the year =  $1/4$
- Event B: The probability that Protector will be down at any given moment =  $1/3$

The probability of two independent events occurring is determined by multiplying their individual probabilities:



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21

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#### 2.4.1 Quiz 6 Consequences

<https://forms.gle/KtiQCbhqy5JHkucB6>

1. MTBF is a convenient approximation of reliability. A safety system's performance is measured by its availability. Which of the following is most accurate? \*

1 point

- ☐ 1. A safety function's reliability determines the probability of a multiple failure.
- ☐ 2. A protected function's availability determines the probability of a multiple failure.
- ☐ 3. The unavailability of the safety device multiplied by the unreliability of the protected function yields the multiple failure probability.

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22

22

Who should decide what the tolerable probability of multiple failure should be?

Those exposed to the risk.  
They would be guided by two factors:

1. Probability of the MF

2. The degree to which *they believe* they have a **choice** or are in **control** of their exposure

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23

23

Tolerable fatality risk examples

Situation	Choice / Control	Probability of death in a year that I am prepared to tolerate
In my car	I think I am in control of my car and have made the choice to operate it on a public road	1/5000
At work	I chose to work there and have some control over the degree of exposure	1/10000 (10 <sup>-4</sup> )
In a passenger plane	I chose to fly but have no control	1/1000000 (10 <sup>-6</sup> )
A nearby explosives factory that can kill me or a member of my family.	No choice or control	1/10000000 (10 <sup>-7</sup> )

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24



24

### Actual probabilities of being killed in a year

- Probability of being killed on the road in any one year:
- Probability of being killed on the job in any one year :

GERMANY	1 in 10000
UK	1 in 13500
CHILE	1 in 6250
USA	1 in 6000
FRANCE	1 in 4000
RSA	1 in 3500

NORTH SEA FISHING	1 in 1000
FARMING	1 in 10000
MINING	1 in 10000
STEEL	1 in 10000
AUTOMOTIVE	1 in 100000
LIGHT MFG	1 in 100000
OFFICES	1 in 1000000



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25

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### Risk of death in a large plant in any one year

Level	No of risk locations	Tolerable probability per instance
Site	1	1/100
Area	10 areas on the site	1/1000
Line	10 production lines in each area	1/10000
Event	100 events in a line that could kill 1 person*	1/1000000

The probability of you or one of your co-workers being killed in your industry a given is 1/10,000.

There are 100 workers in your plant, therefore the probability of a fatality in your plant any year would be:

**1/10,000 x 100 = 1/100.**

\*If an event can kill more than one person, say 10 people then the tolerable probability must be reduced to 1/10,000,000

However if an event has a 1 in 10 chance of killing one person than the probability that can be tolerated can be increased to 1 in 100,000

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26

26

Tolerable monetary risk example

An event that can cause a loss of:	Probability that the organization is prepared to tolerate
\$200	1/1000 (10 <sup>-3</sup> )
\$2000	1/100000 (10 <sup>-5</sup> )
\$200,000	1/1000000 (10 <sup>-6</sup> )
\$2,000,000	1/10000000 (10 <sup>-8</sup> )

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27

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2.4.1 Quiz 7 Consequences

<https://forms.gle/THDy2v8Dn2gRmvnY9>

1. Risk is often assessed as the multiplication product of failure (or multiple failure) probability and the human value of the losses associated with failure. In the RCM way of thinking whose viewpoint should be key in deciding what level of prevention or mitigation is appropriate? \*

1. A government agency should have the final say as to what maintenance or design strategy is required.

2. The employee's union should have the final say.

3. The likely victims and their survivors, as well as those who will need to deal with the ramifications of the failure should have the decisive voice.

1 point

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28

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### How to reduce probability of the multiple failure

- Event A: The probability that Protected will fail in the year =  $\frac{1}{4 \times 10}$
- Event B: The probability that Protector will be down =  $\frac{1}{3 \times 100}$

Dissatisfied with 1/12 we might decide to tolerate 1/1000. We would need to reduce P(A) and / or P(B):

Protected

$M_{ed} = 10$  years

Failure Finding tasks

Protector

$A_p = 6.7\%$

99%

1 year

$P_A = \frac{1}{4 \times 10}$

$P_B = \frac{1}{3 \times 100}$

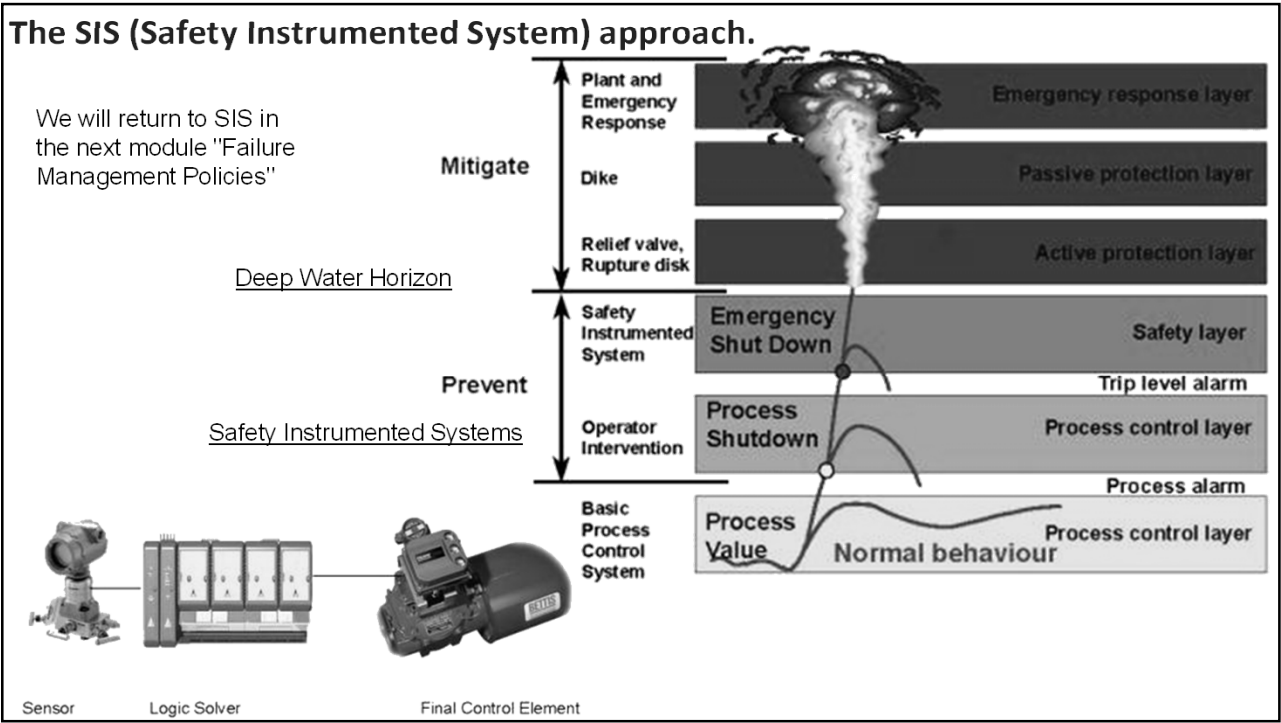
$Probability\ Multiple\ Failure = P_A * P_B = \frac{1}{4 \times 10} * \frac{1}{3 \times 100} = \frac{1}{12 \times 1000}$

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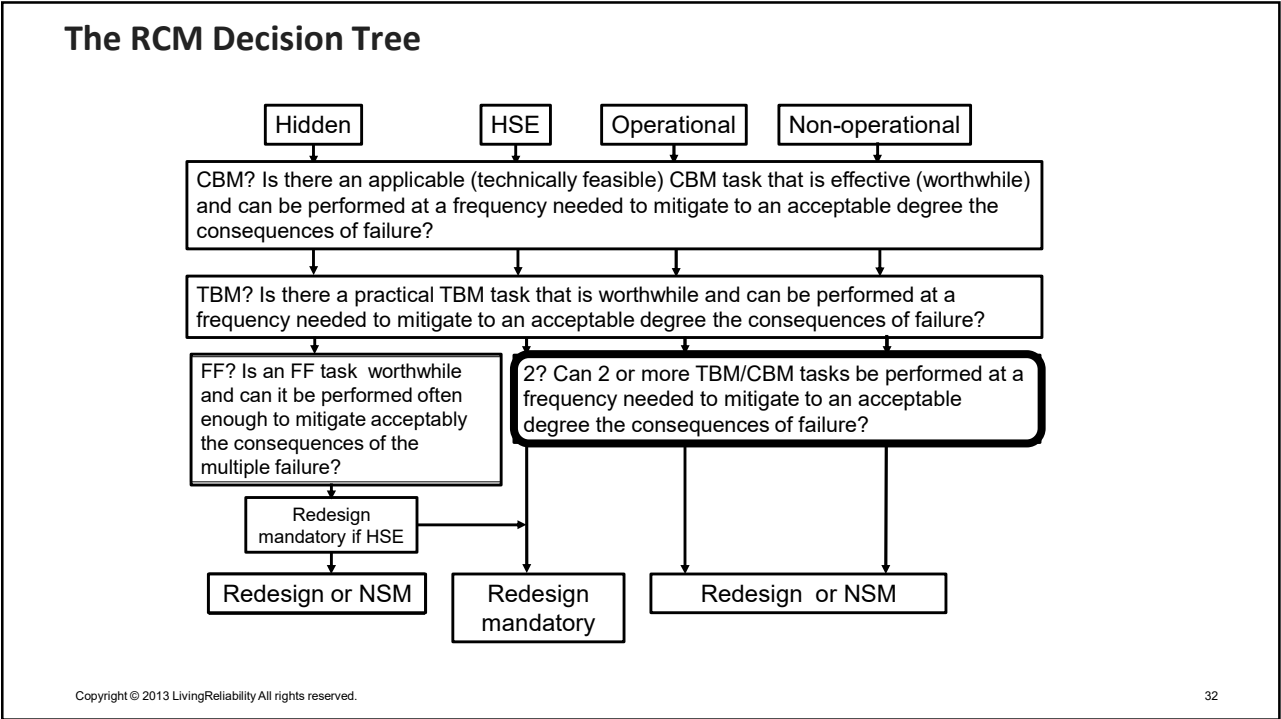
Safety interlocks	Ultimate level, Temperature, pressure switches
Shear pins	Life rafts, parachutes
Backflow valves	Emergency medical equipment
Rupture disks	Over-current circuit breakers
Gas detection	Emergency clothing and breathing apparatus
Emergency stop buttons	Secondary containment
Warning signs and signals	Fire detection, warning and suppression systems
Stand-by equipment	Over-speed switches
Vibration switches	Etc.

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30

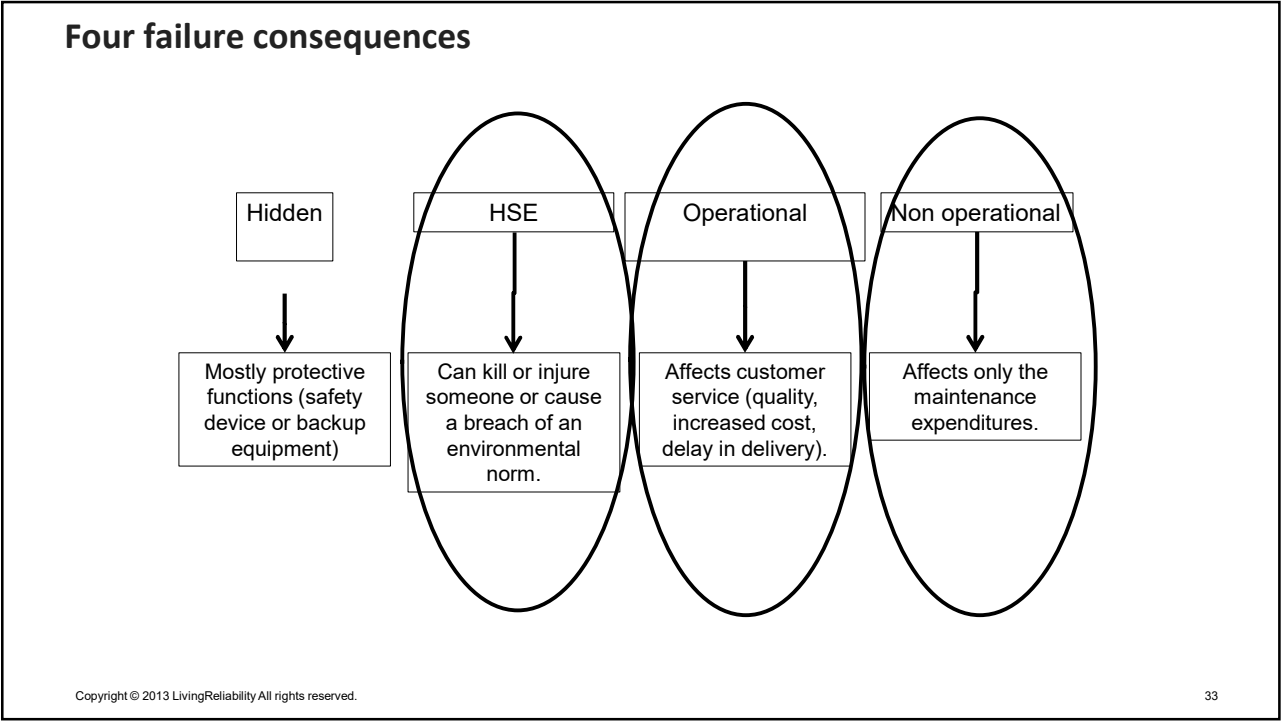


31



32





33

2.4.1 Quiz 8 Consequences

<https://forms.gle/6rnLzuxNDyfYLbDz8>

1. When is the decision tree node "Two or more tasks" appropriate for selection by the RCM analysts? \* 1 point

- ☐ 1. When two applicable and effective tasks are easy and inexpensive to perform.
- ☐ 2. When a single PM or CBM task will not sufficiently reduce risk but the combination will.
- ☐ 3. When health, safety, or environmental consequences result from the failure.

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34

34

# Criticality analysis

Phase I: Prioritizing the systems or equipment for RCM analysis

Phase 2: Risk quantification for each **failure mode**

35

## Phase 1 Simple method for system RCM priority

Asset	Issues (cost, throughput, safety, environment, availability, reliability)	Current performance (cost, throughput, safety, environment, availability, reliability)	Target performance	What's it worth to the to attain the target	RCM Priority
C-24	Safety	Lost days 80 /yr	10 days/yr	\$50K	High
G-171	Cost				
K-4	Reliability				

36

Phase 2

Step 1: Set up a hazard (severity) classification table

Severity Descriptions					
Name ↕	ENVIROMENTAL	SAFETY	OPERATIONAL	COST	
Catastrophic	Major widespread damage or serious breach of legislation. ineffective control measure	Single death or multiple serious injuries or severe occupational illnesses	Loss of the platform or equipment	Greater than €500k	🔗
Critical	Noticeable widespread impact on the environment. Control measures minimally effective	A single severe injury or occupational illness or multiple minor occupational illnesses	Loss of mission capability	Between €200k and €500k	🔗
Marginal	Minor impact on the environment. Control measures substantially effective	At most a single minor injury or a single minor occupational injury	Limite mission capability	Limited mission capability	🔗
Negligible	Little impact. Control measure comprehensive		Minimal disruption to mission capability	Less than €10k	🔗

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37

37

Step 2 Set probabilities of occurrence (POC) and weights

Probability Levels			
Name ↕	Description ↕	Weight ↕	+
Frequent	1 per 500 measures of operation	1	🔗 ⊖
Probable	< 1 per 500 operating units, but > 1 per 5000 measures of operation	2	🔗 ⊖
Occasional	< 1 per 5,000 operating units, but > 1 per 50000 measures of operation	3	🔗 ⊖
Remote	< 1 per 50,000 operating units, but > 1 per 1,000,000 measures of operation	4	🔗 ⊖
Extremely Unlikely	< 1 per 1,000,000 measures of operation	5	🔗 ⊖

POC weights

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38

38

Step 3 Set the hazard severity (HS) classifications and weights

Severity Levels		
Name ↕	Weight ↕	+
Catastrophic	1	
Critical	2	
Marginal	3	
Negligible	4	

HS weights

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39

39

Step 4 Set the criticality ranges and color codes

Interpretation of the indices of criticality risks			
Color	Criticality ↕	Interpretation ↕	
	5	Unacceptable	
	8	Undesirable	
	10	Tolerable	
	14	Acceptable	

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40

40

Step 5 Software creates the risk matrices in each category

1. SW transforms POC from Step 2 as:  
 $POC_T = POC + 1$

4. SW assigns risk numbers in sequence. Assigns previous risk number if r repeats. For example  $r=8 \rightarrow RN=3$

2. SW transforms HS from Step 3 as  
 $HS_T = 2^{HS}$

3. SW calculates risk values r in each cell as:  
 $r = HS^T \times POC^T$

	Enviromental	Safety	Operational	Cost		
	Clear	POC = 1 Frequent	POC = 2 Probable	POC = 3 Occasional	POC = 4 Remote	POC = 5 Extremely Unlikely
HS = 1 HS <sub>T</sub> = 2 Catastrophic		1 (r = 4)	2 (r = 6)	3 (r = 8)	4 (r = 10)	5 (r = 12)
HS = 2 HS <sub>T</sub> = 4 Critical		3 (r = 8)	5 (r = 12)	6 (r = 16)	7 (r = 20)	8 (r = 24)
HS = 3 HS <sub>T</sub> = 8 Marginal		6 (r = 16)	8 (r = 24)	9 (r = 32)	10 (r = 40)	11 (r = 48)
HS = 4 HS <sub>T</sub> = 16 Negligible		9 (r = 32)	11 (r = 48)	12 (r = 64)	13 (r = 80)	14 (r = 96)

41

Step 6 Set failure mode criticality based on RCM Effects and Consequences analysis.

Modify failure mode node information

Failure Mode

Mitigation Tasks

Images

Risks

	Enviromental	Safety	Operational	Cost		
Clear	Frequent	Probable	Occasional	Remote	Extremely Unlikely	
Catastrophic		1 (r = 4)	2 (r = 6)	3 (r = 8)	4 (r = 10)	5 (r = 12)
Critical		3 (r = 8)	5 (r = 12)	6 (r = 16)	7 (r = 20)	8 (r = 24)
Marginal		6 (r = 16)	8 (r = 24)	9 (r = 32)	10 (r = 40)	11 (r = 48)
Negligible		9 (r = 32)	11 (r = 48)	12 (r = 64)	13 (r = 80)	14 (r = 96)

Accept

Cancel

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